

Section 2. Form PTO - 1449 (Modified)

Form PTO - 1449 U.S. Department of Commerce  
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**Information Disclosure  
Statement by Applicant**  
(use several Sheets if necessary)  
(37 CFR 1.98(b))

Attorney Docket No. GM10087-D1  
Serial No. Unknown  
Applicant: Martin K. R. Burnham  
Filing Date: April 27, 2001  
Group: Unknown  
Examiner: Unknown

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2588  
3621 U.S. PTO  
09/844199  
04/27/01

**U.S. PATENT DOCUMENTS**

| Exmr<br>Initial | Patent Number | Issue<br>Date | Patentee | Class | Sub-<br>Class | Filing Date<br>If Appropriate |
|-----------------|---------------|---------------|----------|-------|---------------|-------------------------------|
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**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

| Exmr<br>Initial | Document Number | Publn<br>Date* | Country or Patent Office | Class | Sub-<br>Class | Translation?<br>Yes No |
|-----------------|-----------------|----------------|--------------------------|-------|---------------|------------------------|
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**OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)**

|          |  |
|----------|--|
|          | Coffey T. J., et al.; GenBank Submission "Recombinational exchanges at the capsular polysaccharide biosynthetic locus lead to frequent serotype changes among natural isolates of <i>Streptococcus pneumoniae</i> " Accession Number: AF030373 |
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| Examiner | Date Considered  |
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**EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

(Form PTO - 1449 [6-4])

- \* Use publication date indicated on the patent or published application. 37 CFR 1.98(b).  
\*\* The place or publication refers to the name of the journal, magazine or other publication in which the article was published. Notice of January 9, 1992, 1135 O.G. 13 to 25 at 20.